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| APPLICATION NO.  | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO. |
|--|-----------------|----------------------|------------------------|------------------|
| 09/926,193   | 09/21/2001      | Hiroyuki Atarashi    | 214072US2PCT           | 4538             |
| 22850  | 7590 06/06/2006 |                      | EXAMINER               |                  |
| OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. 1940 DUKE STREET |                 |                      | WONG, WARNER           |                  |
|  | NA, VA 22314    |                      | ART UNIT PAPER NUMBER  |                  |
|  | •               |                      | 2616                   |                  |
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Please find below and/or attached an Office communication concerning this application or proceeding.

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|--|--|--|---------|
|  | Application No.  | Applicant(s)   |         |
|  | 09/926,193   | ATARASHI ET AL.  |         |
| Office Action Summary  | Examiner   | Art Unit   |         |
|  | Warner Wong  | 2616   |         |
| The MAILING DATE of this communication Period for Reply  | appears on the cover sheet   | with the correspondence address  | 5       |
| A SHORTENED STATUTORY PERIOD FOR RI WHICHEVER IS LONGER, FROM THE MAILIN  - Extensions of time may be available under the provisions of 37 CF after SIX (6) MONTHS from the mailing date of this communicatio  - If NO period for reply is specified above, the maximum statutory p  - Failure to reply within the set or extended period for reply will, by s Any reply received by the Office later than three months after the rearned patent term adjustment. See 37 CFR 1.704(b).   | G DATE OF THIS COMMUN<br>FR 1.136(a). In no event, however, may<br>n.<br>eriod will apply and will expire SIX (6) Mo<br>statute, cause the application to become | IICATION. a reply be timely filed  DNTHS from the mailing date of this commun ABANDONED (35 U.S.C. § 133). |         |
| Status   |  |  |         |
| 1) Responsive to communication(s) filed on 2   | 21 April 2006.   | •  |         |
|  | This action is non-final.  |  |         |
| 3) Since this application is in condition for all closed in accordance with the practice und   |  | ·  | its is  |
| Disposition of Claims  |  |  |         |
| 4) ⊠ Claim(s) 1-18 is/are pending in the application 4a) Of the above claim(s) is/are with 5) □ Claim(s) is/are allowed.  6) ⊠ Claim(s) 1-3,7-11,15 and 17 is/are rejected for the company of | ndrawn from consideration.  d.  ted to   |  |         |
| Application Papers   |  |  |         |
| 9) ☐ The specification is objected to by the Example 10) ☐ The drawing(s) filed on 21 September 200 Applicant may not request that any objection to Replacement drawing sheet(s) including the control of the oath or declaration is objected to by the  | 1 is/are: a) $\square$ accepted or by the drawing(s) be held in abey brection is required if the drawing   | ance. See 37 CFR 1.85(a). ng(s) is objected to. See 37 CFR 1.  | 121(d). |
| Priority under 35 U.S.C. § 119   |  |  |         |
| <ul> <li>12) Acknowledgment is made of a claim for for a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority docur</li> <li>2. Certified copies of the priority docur</li> <li>3. Copies of the certified copies of the application from the International But</li> <li>* See the attached detailed Office action for a</li> </ul>   | nents have been received.<br>nents have been received in<br>priority documents have bee<br>ureau (PCT Rule 17.2(a)).   | Application No en received in this National Stag   | je      |
| Attachment(s)  1) Notice of References Cited (PTO-892)   | 4) ☐ Interview   | v Summary (PTO-413)  |         |
| <ul> <li>2) Notice of Preferences Cited (170-032)</li> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-94)</li> <li>3) Information Disclosure Statement(s) (PTO-1449 or PTO/S Paper No(s)/Mail Date</li> </ul>  | Paper N  | o(s)/Mail Date<br>f Informal Patent Application (PTO-152)  | )       |

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#### **DETAILED ACTION**

# Claim Objections

- 1. The following claims are objected to because of the following informalities:
- a) claims 9-18: the limitations of "common control channel signal insertion means" and "common pilot signal insertion means" should be corrected as "common control channel signal insert unit" and "common pilot signal insertion unit" respectively as defined in parent claim 8.

Appropriate correction is required.

# Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 2. Claim 1-3, 7-11, 15 and 17 are rejected under 35 U.S.C. 102(e) as being anticipated by Baum (US 5,867,478).

Regarding claims 1 and 8, Baum describes a channel structuring method/base station wherein transmission signals are modulated by orthogonal frequency division multiplexing (OFDM) comprising n sub-carriers and multiplexed by time division multiplexing to configure downlink channels (col. 3, line 30-35, where OFDM

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transmission using time and frequency dimensions are used by base unit/station (downlink) to the mobile unit/station), said method/base station comprising:

a step/common channel signal insertion unit for selecting from the n sub-carriers, a predetermined number of sub-carriers for insertion of common control channel signals and common pilot signals; and a step/pilot signal insertion unit for inserting a common control channel signal and a common pilot signal into the selected sub-carriers (fig. 4-6 & col. 9, lines 37-67 & col. 10, lines 1-49, where in each exemplary embodiment, predetermined sub-carriers are used (selected to) transmit (insert) broadcast synchronization signals (common control channel signals) using selected (common) pilot code (channel) signals, performed by the base unit/station's modulator (pilot signal insertion unit) and synchronizing unit (common channel signal insertion unit), as described in col. 14, lines 4-16);

## Regarding claim 2, Baum further describes:

a step of providing time frames by segmenting a communication channel of said n subcarriers at every predetermined interval (fig. 4-6 & col. 9, lines 61-66, where the subcarrier frequency bands is time-divided into a baud durations (predetermined intervals));

a step of selecting a predetermined number of subcarriers from said n subcarriers, and periodically inserting the common control channel signal and the common pilot signal into every time frame of said selected subcarriers (fig. 4 & 6, where selected (predetermined number of) subcarriers from the total number of

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subcarriers are assigned to periodically insert broadcast synchronization (common control channel) using selected (common) pilot code (channel) signals).

Regarding claim 3, Baum further describes that the common control channel signal and the common pilot signal are periodically inserted into every time frame of said selected subcarriers, either the common control channel signal or the common pilot signal, or both thereof, is/are inserted at the same timing as either the common control channel signal or the common pilot signal, or both thereof of other subcarriers (fig. 4 & 6, where the broadcast synchronization (common control channel signal) using (and) the selected (common) pilot code (channel) signal are periodically inserted at the same timeslot within the baud interval for every subcarrier).

# Regarding claim 7, Baum further describes:

a step of providing time frames by segmenting a communication channel of said n subcarriers at every predetermined interval (fig. 4-6 & col. 9, lines 61-66, where the subcarrier frequency bands is time-divided into a band durations (predetermined intervals);

a step of selecting a predetermined number of subcarriers from said n subcarriers, and inserting the common control channel signal continuously into the time frame of said selected subcarriers, and a step of selecting a predetermined number of subcarriers from said n subcarriers, and inserting the common pilot channel signal continuously into the time frame of said selected subcarriers (fig. 5, where broadcast synchronization (common control channel) signal using (and) common pilot code

(channel) signal are continuously inserted into (predetermined) subcarriers 502, 504, 506 & 508).

Regarding claim 9, Baum further describes that time frames are provided by segmenting a communication channel of said n subcarriers at every predetermined interval (fig. 4-6 & col. 9, lines 61-66, where the subcarrier frequency bands is time-divided into a baud durations (predetermined intervals);

said common control channel signal insertion means selects a predetermined number of subcarriers from said n subcarriers, and inserts the common control channel signal periodically into every time frame of said selected subcarriers (fig. 4 or 6, where broadcast synchronization (common control channel) signal are being periodically inserted to all or pre-selected (predetermined subset of) n subcarriers).

Regarding claim 10, Baum further describes that time frames are provided by segmenting a communication channel of said n subcarriers at every predetermined interval (fig. 4-6 & col. 9, lines 61-66, where the subcarrier frequency bands is time-divided into baud durations (predetermined intervals),

and said common control channel signal insertion means selects a predetermined number of subcarriers from said n subcarriers, and inserts the common control channel signal periodically into every time frame of said selected subcarriers (fig. 4 or 6, where broadcast synchronization (common control channel) signal are being inserted to all or pre-selected (predetermined subset of) n subcarriers);

Regarding claims 11 and 15, Baum further describes:

said common pilot signal insertion means selects a predetermined number of subcarriers from said n subcarriers and inserting the common pilot periodically into every time frame of said selected subcarriers (fig. 4 or 6, where broadcast synchronization (common control channel) signal are being inserted to all or preselected (predetermined subset of) n subcarriers), and

said common control channel signal insertion means and said common pilot signal insertion means insert the common control channel signal and the common pilot signal, respectively, into said selected subcarriers such that a timing of the insertion of either the common control channel signal or the common pilot signal, or both, are same as the timing of either the common control channel signal or the common pilot signal, or both, of other subcarriers (fig. 4 & 6, where the broadcast synchronization (common control channel signal) using (and) the selected (common) pilot code (channel) signal are periodically inserted at the same timeslot (timing) within the baud interval for every subcarrier).

Regarding claim 17, Baum further describes that time frames are provided by segmenting a communication channel of said n subcarriers at every predetermined interval (fig. 4-6 & col. 9, lines 61-66, where the subcarrier frequency bands is time-divided into baud durations (predetermined intervals);

said common pilot signal insertion means selects a predetermined number of subcarriers from said n subcarriers, and inserts the common pilot signal periodically into every time frame of said selected subcarriers (fig. 4 or 6, where pilot code (channel)

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signal is being periodically inserted to all or pre-selected (predetermined subset of) n subcarriers).

## Allowable Subject Matter

3. Claims 4-6, 12-14, 16 and 18 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

# Response to Arguments

4. Applicant's arguments, filed April 21, 2006, with respect to claims 1-18 have been fully considered and are persuasive. The rejections to the final action of January 24, 2006 has been withdrawn for a new final action with new grounds of rejection.

#### Conclusion

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure: Engstrom (US 5,909,436), Jeong (US 2002/0080887), Laroia (US 2004/0095904) and Li (US 2005/0220002).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Warner Wong whose telephone number is 571-272-8197. The examiner can normally be reached on 6:30AM - 3:00PM, M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ricky Ngo can be reached on 571-272-3139. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Warner Wong Examiner Art Unit 2616

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RICKY O. NGO SUPERVISORY PATENT EXAMINER